

Express Mail Label No.: ET 316104154 US

Date of Deposit: November 30, 2001

Attorney Docket No. 22596-514

(CO-14)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
DEC 12 2001
TECH CENTER 1600/2900

APPLICANTS: Jiang and Reddy

SERIAL NUMBER: 09/975,776

EXAMINER: Not Yet Assigned

FILING DATE: October 10, 2001

ART UNIT: 1651

FOR: PHARMACEUTICAL COMPOSITIONS CONTAINING BETA-LAPACHONE, OR DERIVATIVES OR ANALOGS THEREOF, AND METHODS OF USING SAME

November 30, 2001

Boston, Massachusetts

Application Processing Division's

Customer Correction Branch

U.S. Patent and Trademark Office

P.O. Box 2327

Arlington, VA 22202

TRANSMITTAL LETTER

Transmitted herewith for filing in the above-referenced patent application are the following documents:

- ☒ Request for corrected filing receipt (2 pages);
- ☒ Copy of filing receipt marked with corrections (2 pages); and
- ☒ Return postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617-542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311, Ref. No. 22596-514 (CO-14). A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully Submitted,

Ivor R. Elrifi, Reg. No. 39,529

Barry J. Marenberg, Reg. No. 40,715

Attorney for Applicants

c/o MINTZ, LEVIN

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000

Fax: (617) 542-2241

Dated: November 30, 2001



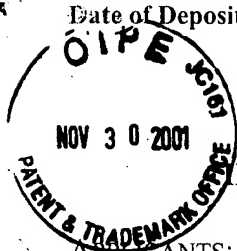
30623

PATENT TRADEMARK OFFICE

Express Mail Label No.: ET 318104154 US

Date of Deposit: November 30, 2001

12-03-01
RECEIVED
Attorney Docket No. 22596-514
(CO-14)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Jiang and Reddy

SERIAL NUMBER: 09/975,776

EXAMINER: Not Yet Assigned

FILING DATE: October 10, 2001

ART UNIT: 1651

FOR: PHARMACEUTICAL COMPOSITIONS CONTAINING BETA-LAPACHONE, OR DERIVATIVES OR ANALOGS THEREOF, AND METHODS OF USING SAME

RECEIVED
DEC 12 2001
TECH CENTER 1600/2900
November 30, 2001
Boston, Massachusetts

Application Processing Division's
Customer Correction Branch
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

REQUEST FOR CORRECTED FILING RECEIPT

1. Applicants request a Corrected Filing Receipt for the above-mentioned patent application. Applicants enclose a copy of the Filing Receipt with the corrections noted thereon. Issuance of a corrected filing receipt is respectfully requested.
2. There are errors with respect to the following data, which are incorrectly entered.

Error in

Attorney Information

Applicant's Spelling of Name:

Domestic Priority Data:

Correct data

Ivor R. Elrifi
Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C.
One Financial Center
Boston, MA 02111

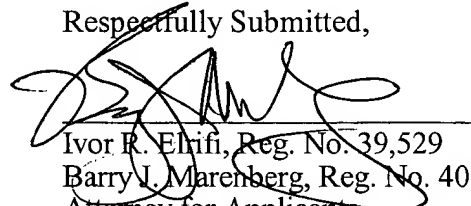
Zhiwei Jiang, Grafton, MA

Delete: "60/308,395 07/30/2001:
Replace with: "60/308,935 07/31/2001"

Applicants: Jiang and Reddy
U.S.S.N. 09/975,776

3. Applicants believe that no fee is due. However, the Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to Deposit Account No. 50-0311, Ref. No. 22596-514 (CO-14).

Respectfully Submitted,



Ivor R. Elrifi, Reg. No. 39,529
Barry J. Marenberg, Reg. No. 40,715
Attorney for Applicants
c/o MINTZ, LEVIN
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

Dated: November 30, 2001

TRA 1600982v1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/975,776	10/10/2001	1651	3756	22596-5140TIL	5	203	22

Ivor R. Elrifi
MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY and POPEO, P.C.
One Financial Center
Boston, MA 02111

RECEIVED

NOV 16 2001

MINTZ LEVIN, BOSTON
PATENT DOCKET DEPT.

CONFIRMATION NO. 9481

FILING RECEIPT



OC000000007061287

Date Mailed: 11/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) Zhiwei

~~Ziwei~~ Jiang, Grafton, MA;
Dasharatha G. Reddy, San Antonio, TX;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF ~~00/308,395~~ 07/30/2001

60/308,935 07/31/2001

Foreign Applications

If Required, Foreign Filing License Granted 11/09/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Pharmaceutical compositions containing beta-lapachone, or derivatives or analogs thereof, and methods of using same

Preliminary Class

<input checked="" type="checkbox"/> Data Entry	Done By <u>Jen</u>
<input type="checkbox"/> Docket Entry	
<input checked="" type="checkbox"/> Docket Cross Off	
<input type="checkbox"/> Previously Entered	
<input type="checkbox"/> No Docketing Req.	
<input type="checkbox"/> ELITE	
<input type="checkbox"/> Annuities	

LICENSE FOR FOREIGN FILING UNDER
Titl 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).